

-UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

#### NOTICE OF ALLOWANCE AND FEE(S) DUE

22195

7590

07/16/2002

HUMAN GENOME SCIENCES INC 9410 KEY WEST AVENUE ROCKVILLE, MD 20850 EXAMINER
HAMUD, FOZIA M

ART UNIT CLASS-SUBCLASS

435-069520

1647
DATE MAILED: 07/16/2002

APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/115.832	07/15/1998	REINHARD EBNER	PF399	1845

TITLE OF INVENTION: INTERLEUKIN-20

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1280	\$0	\$1280	10/16/2002

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED.</u> THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

#### **HOW TO REPLY TO THIS NOTICE:**

I. Review the SMALL ENTITY status shown above. If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

B. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

Applicant claims SMALL ENTITY status.
 See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

# PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: Mail Box ISSUE FEE

**Commissioner for Patents** Washington, D.C. 20231

**Fax** (703)746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent advance orders and notification of maintenance fees will be mailed to the current correspondence address and

indicated unless corrected l maintenance fee notification	below or directed otherw is.	rise in Block 1, by (a) sp	ecifying a new correspondence	address; and/or (b) indicating a sepa	arate "FEE ADDRESS" for		
	CE ADDRESS (Note: Legibly mar 590 07/16/2002	k-up with any corrections or use		Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other			
	ME SCIENCES IN		accompanyin	accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.			
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ROCKVILLE, MD			Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with				
<b>,</b>			United States envelope add	s Postal Service with sufficient postar dressed to the Box Issue Fee address o the USPTO, on the date indicated b	ge for first class mail in an above, or being facsimile		
					(Depositor's name)		
					(Signature)		
					(Date)		
APPLICATION NO.	FILING DATE	FIR:	ST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
09/115,832	07/15/1998	R	EINHARD EBNER	PF399	1845		
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APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE		
nonprovisional	NO	\$1280	\$0	\$1280	10/16/2002		
EXAMI	NER I	ART UNIT	CLASS-SUBCLASS				
HAMUD, F		1647	435-069520				
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1. Change of corresponder CFR 1.363).	ice address or indication (	of "ree Address" (37	2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys				
Change of corresponde	ence address (or Change of	of Correspondence	or agents OR, alternatively, (2) the name of a single firm (having as a member a registered				
Address form PTO/SB/1	•		attorney or agent) and the names of up to 2 2				
PTO/SB/47; Rev 03-02 ( Number is required.	ion (or "Fee Address" Ind or more recent) attached.	Use of a Customer	registered patent attorneys or is listed, no name will be printe				
3. ASSIGNEE NAME AND	RESIDENCE DATA TO	D BE PRINTED ON THE	PATENT (print or type)				
PLEASE NOTE: Unless a	n assignee is identified b	elow no assignee data w	ill annear on the natent Inclusio	on of assignee data is only appropriat	te when an assignment has		
(A) NAME OF ASSIGNE	to the USPTO or is being	g submitted under separate	e cover. Completion of this form ESIDENCE: (CITY and STATE (	is NOT a substitute for filing an assig	mment.		
(A) NAME OF ASSIGNE	L	(b) Ki	SIDENCE: (CITT and STATE)	OR COUNTRY)			
Please check the appropriate	e assignee category or cate	egories (will not be printe	d on the natent) 🔲 individu	ual Corporation or other private g	roun entity   D government		
4a. The following fee(s) are			yment of Fee(s):	au Gorporation of other private g	loup chiny a government		
☐ Issue Fee			heck in the amount of the fee(s) is	s enclosed.	· · · · · · · · · · · · · · · · · · ·		
☐ Publication Fee		☐ Pay	ment by credit card. Form PTO-2	2038 is attached.			
☐ Advance Order - # of C	Copies	☐ The	Commissioner is hereby authorized the Account Number	zed by charge the required fee(s), or commendate (enclose an extra copy of this	credit any overpayment, to		
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(Authorized Signature)		(Date)					
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NOTE; The Issue Fee and other than the applicant; interest as shown by the re	d Publication Fee (if req a registered attorney or cords of the United States	uired) will not be accep agent; or the assignee of s Patent and Trademark O	ted from anyone or other party in office.				
This collection of informa	tion is required by 37 C	FR 1.311. The informati	on is required to				
This collection of information obtain or retain a benefit application. Confidentiality	y is governed by 35 U.S.(	C. 122 and 37 CFR 1.14.	This collection is		,		
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### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

APPLICATION NO. FILING DATE		LING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/115,832	07/15/1998		REINHARD EBNER	PF399	1845
22195	7590	07/16/2002	EXA	EXAMIN	MINER
HUMAN GENOME SCIENCES INC 9410 KEY WEST AVENUE				HAMUD, FOZIA M	
ROCKVILLE,				ART UNIT	PAPER NUMBER
				1647	
				DATE MAILED: 07/16/2002	

# Determination of Patent Term Extension under 35 U.S.C. 154 (b) (application filed after June 7, 1995 but prior to May 29, 2000)

The patent term extension is 0 days. Any patent to issue from the above identified application will include an indication of the 0 day extension on the front page.

If a continued prosecution application (CPA) was filed in the above-identified application, the filing date that determines patent term extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system. (http://pair.uspto.gov)



#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

APPLICATION NO. FILING DATE		IG DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO. CONFIRMAT		
09/115,832 07/15/		15/1998	REINHARD EBNER	PF399	1845	
22195	7590	07/16/2002	[	EXAMINER		
HUMAN GEN				HAMUD, FOZIA M		
9410 KEY WES				ART UNIT	PAPER NUMBER	
				1647		
			DATE MAILED: 07/16/2002			

## Notice of Fee Increase on October 1, 2002

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after October 1, 2002, then the amount due may be higher than that set forth in the "Notice of Allowance and Fee(s) Due" since there will be an increase in fees effective on October 1, 2002. See Revision of Patent and Trademark Fees for Fiscal Year 2003; Notice of Proposed Rulemaking. 67 Fed. Reg. 30634, 30636 (May 7, 2002). Although a change to the amount of the publication fee is not currently proposed for October 2002, if the issue fee or publication fee is to be paid on or after October 1, 2002, applicant should check the USPTO web site for the current fees before submitting the payment. The USPTO Internet address for the fee schedule is: <a href="http://www.uspto.gov/main/howtofees.htm">http://www.uspto.gov/main/howtofees.htm</a>.

If the issue fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due," but not the correct amount in view of the fee increase, a "Notice to Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice to Pay Balance of Issue Fee," if the response to the Notice of Allowance and Fee(s) due form is to be filed on or after October 1, 2002 (or mailed with a certificate of mailing on or after October 1, 2002), the issue fee paid should be the fee that is required at the time the fee is paid. If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously paid issue fee should be paid. See Manual of Patent Examining Procedure, Section 1308.01 (Eighth Edition, August 2001).

Effective October 1, 2002, 37 CFR 1.18 is proposed to be revised to change the patent issue fees as set forth below. As stated above, the final fees may be a different amount, and applicant should check the web site given above when paying the fee.

(a) Issue fee for issuing each original or reissue patent, except a design or plant patent:

By a small entity (Sec. 1.27(a))--\$655.00 By other than a small entity--\$1,310.00

(b) Issue fee for issuing a design patent:

By a small entity (Sec. 1.27(a))--\$235.00 By other than a small entity--\$470.00

(c) Issue fee for issuing a plant patent:

By a small entity (Sec. 1.27(a))--\$315.00 By other than a small entity--\$630.00

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

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Application No. 09/115,832 Applicant

EBNER et al.

Notice of Allowability

Examiner

Fozia Hamud

Art Unit 1647



--The MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308. 1. X This communication is responsive to 7/3/02 2. X The allowed claim(s) is/are 23-38, 41-48 (now renumbered 1-24 respectively) 3.  $\boxtimes$  The drawings filed on Jun 20, 2002 are accepted by the Examiner. 4. Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d). c) None of the: a) 🗌 All b) Some\* 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)). \*Certified copies not received: 5. Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application). (a) The translation of the foreign language provisional application has been received. 6. Acknowledgement is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121. Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 7. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient. 8. CORRECTED DRAWINGS must be submitted. (a) including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 1) hereto or 2) to Paper No. (b) including changes required by the proposed drawing correction filed , which has been approved by the examiner. (c) including changes required by the attached Examiner's Amendment/Comment or in the Office action of Paper No. Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the top margin (not the back) of each sheet. The drawings should be filed as a separate paper with a transmittal letter addressed to the Official Draftsperson. 9. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. Attachment(s) 1 Notice of References Cited (PTO-892) 2 Notice of Informal Patent Application (PTO-152) 3 Notice of Draftsperson's Patent Drawing Review (PTO-948) 4 Interview Summary (PTO-413), Paper No. \_\_\_\_\_. 5 Information Disclosure Statement(s) (PTO-1449), Paper No(s), 6 Examiner's Amendment/Comment 7 Examiner's Comment Regarding Requirement for Deposit of Biological 8 X Examiner's Statement of Reasons for Allowance Material 9 Other

SUPERVISORY PATENT EXAMINER TECHNOLOGY CENTER 1600

Art Unit: 1647

# REASON FOR ALLOWANCE

- 1. Applicants' arguments submitted in Paper No:23, filed on 6/3/02, were persuasive to overcome the rejection of claims 23, 38, 41-48 made under U.S.C. § 112, first, as containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventors, at the time the application was filed, had possession of the claimed invention. Applicants describe amino acid residues 1-160 of SEQ ID:2 as being the mature form of the polypeptideendoced by the claimed polynucleotide, thus providing written description for "mature" form recited in claim 23 (o) and claim 38.
- 2. Claims 23-38 and 41-48 (now renumbered claims 1-24, respectively) are allowed.
- 3. The Formal Drawings submitted in Paper NO:24, filed on 21 June 2002 have been approved by the draftsman.
- 4. The effective filing date of this application is 26 September 1997, since the claimed nucleic acid is disclosed in the provisional Application 60/0960,140 filed on 26 September 1997. *Advisory Information*

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Fozia Hamud whose telephone number is (703) 308-8891. The examiner can normally be reached on Monday-Thursday from 8:00AM to 4:30PM (Eastern time).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gary Kunz can be reached at (703) 308-4623.

Official papers filed by fax should be directed to (703) 308-4227. Faxed draft or informal communications with the examiner should be directed to (703) 308-0294.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 308-0196.

Fozia Hamud Patent Examiner Art Unit 1647 15 July 2002

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Page 2

Serial Number: 09/115,832

Art Unit: 1647

1. An Examiner's Amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 C.F.R § 1.312. To ensure consideration of such an amendment, it **MUST** be submitted no later than the payment of the Issue Fee.

Authorization for this Examiner's Amendment was given in a telephone interview with Attorney Mark Hyman on 11 July 2002.

2. The application has been amended as follows:

# **IN THE CLAIMS:**

In claim 46 before "host cell" delete "a", substitute therefor -- an isolated --.

# **Advisory Information**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Fozia Hamud whose telephone number is (703) 308-8891. The examiner can normally be reached on Monday-Thursdays from 7:00AM to 4:30PM (Eastern time).

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Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 308-0196.

Fozia Hamud Patent Examiner Art Unit 1647 11 July 2002

> YVONNE EYLER, PÁ.D SUPERVISORY PATENT EXAMINER TECHNOLOGY CENTER 1600